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1c962 U.S. PTO

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 35669/148330  
First Inventor LEIGHLEY  
Title HAND-HELD GERMICIDAL LAMP WITH SAFETY FEATURES  
Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: RETURN POSTCARD

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

|         |                         |          |  |
|---------|-------------------------|----------|--|
| Name    | 23973                   |          |  |
|         | PATENT TRADEMARK OFFICE |          |  |
| Address |                         |          |  |
| City    | State                   | Zip Code |  |
| Country | Telephone               | Fax      |  |

Name (Print/Type) JOHN C. DONCH, JR.

Registration No. (Attorney/Agent)

43593

Signature

Date

5/16/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

TOTAL AMOUNT OF PAYMENT

\$493.00

Application Number

Filing Date

First Named Inventor

Leighley

Examiner Name

Group Art Unit

Attorney Docket No.

35669/148330 [956-97US]

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0573

Deposit  
Account  
Name

Drinker Biddle & Reath L.L.P.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR § 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

|     |     |     |     |                        |        |
|-----|-----|-----|-----|------------------------|--------|
| 101 | 710 | 201 | 355 | Utility filing fee     | 355.00 |
| 106 | 320 | 206 | 160 | Design filing fee      |        |
| 107 | 490 | 207 | 245 | Plant filing fee       |        |
| 108 | 710 | 208 | 355 | Reissue filing fee     |        |
| 114 | 150 | 214 | 75  | Provisional filing fee |        |

SUBTOTAL (1) \$355.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 22                 | -20** = 2    | 9.00           | 18.00    |
| Independent Claims | 6 - 3** = 3  | 40.00          | 120.00   |
| Multiple Dependent |              | 0.00           | 0.00     |

Large Entity Small Entity

| Fee       | Fee       | Fee       | Fee       | Fee Description  |
|-----------|-----------|-----------|-----------|--|
| Code (\$) | Code (\$) | Code (\$) | Code (\$) |  |
| 103       | 18        | 203       | 9         | Claims in excess of 20                                     |
| 102       | 80        | 202       | 40        | Independent claims in excess of 3                          |
| 104       | 270       | 204       | 135       | Multiple dependent claim, if not paid                      |
| 109       | 80        | 209       | 40        | ** Reissue independent claims over original patent         |
| 110       | 18        | 210       | 9         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$138.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105                   | 130                   | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127                   | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139      | 130      | Non - English specification  |          |
| 147                   | 2,520                 | 147      | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                   | 920*                  | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215      | 55       | Extension for reply within first month                                     |          |
| 116                   | 390                   | 216      | 195      | Extension for reply within second month                                    |          |
| 117                   | 890                   | 217      | 445      | Extension for reply within third month                                     |          |
| 118                   | 1,390                 | 218      | 695      | Extension for reply within fourth month                                    |          |
| 128                   | 1,890                 | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119                   | 310                   | 219      | 155      | Notice of Appeal   |          |
| 120                   | 310                   | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | 221      | 135      | Request for oral hearing   |          |
| 138                   | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                   | 1,240                 | 241      | 620      | Petition to revive - unintentional   |          |
| 142                   | 1,240                 | 242      | 620      | Utility issue fee (or reissue)   |          |
| 143                   | 440                   | 243      | 220      | Design issue fee   |          |
| 144                   | 600                   | 244      | 300      | Plant issue fee  |          |
| 122                   | 130                   | 122      | 130      | Petitions to the Commissioner  |          |
| 123                   | 50                    | 123      | 50       | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                   | 180                   | 126      | 180      | Submission of Information Disclosure Statement                             |          |
| 581                   | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 710                   | 246      | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                   | 710                   | 249      | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 710                   | 279      | 355      | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name (Print/Type)

John C. Dench, Jr.

Registration No.  
(Attorney/Agent)

43593

## Complete (if applicable)

Telephone

215-988-3306

Signature

Date

5/16/01

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